

# Supplemental Statement of Income

BD Operating Systems - Demo

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REVENUE	1,874.38 = 5% of Total Revenue	Report	BDOS GL
<b>*501</b>	<b>1. Commissions</b>		
	A. Listed Equities, ETFs and Closed End Funds Executed on an Exchange -----	13,387.20	13,387.20
	B. Exchange Listed Equity Securities Executed OTC	4,872.61	4,872.61
	C. U.S. Government and Agencies -----		
	D. Foreign Sovereign debt		
	E. Corporate debt -----	320.21	320.21
	F. Mortgage-Backed and Other Asset-Backed Securities		
	G. Municipals -----		
	H. Listed Options	120.00	120.00
	I. OTC Options -----	50.00	50.00
	J. All Other Securities Commissions	322.25	322.25
	K. Commodity Transactions -----		
	L. Foreign Exchange		
	M. Aggregate amount if less than the greater of \$5,000 or 5% of Total Revenue (line 14030) (Do not complete Items A-L)		
	1. Is any portion of Item M related to municipal securities?                      YES: NO:		
	<b>Total Commissions:</b>	19,072.27	19,072.27
<b>*502</b>	<b>2. Revenue from Sale of Investment Company Shares</b>	9,504.63	9,504.63
<b>*503</b>	<b>3. Revenue from Sale of Insurance Based Products</b>		
	A. Variable Contracts -----		250.00
	B. Non-Securities Insurance Based Products		340.00
	C. Aggregate amount if less than the greater of \$5,000 or 5% of Total Revenue (line 14030) (Do not complete Items A-B) -----	590.00	
	<b>Total Revenue From Sale of Insurance Based Products:</b>	590.00	590.00
<b>*504</b>	<b>4. Gains or Losses on Derivative Trading Desks</b>		
	A. Interest Rate/Fixed Income Products -----		
	B. Currency		
	C. Equity Products -----		
	D. Commodity Products		
	E. Other -----		
	<b>Total Gains or Losses on Derivative Trading Desks:</b>		

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**\*505**

**5. Net Gains or Losses on Principal Trades**

A. Equities, ETFs and Closed End Funds. Includes Dividends: _____	YES: NO:		
B. U.S. Government and Agencies. Includes interest:	YES: NO:		37.54
C. Foreign Sovereign Debt. Includes interest: _____	YES: NO:		
D. Corporate Debt. Includes interest:	YES: NO:		
E. Mortgage-Backed and Other AB Securities. Includes interest: ___	YES: NO:		
F. Municipals. Includes interest:	YES: NO:		600.00
G. Foreign Exchange _____			
H. Listed Options			
I. OTC Options _____			
J. Securities Based Swaps			
K. All Other Swaps _____			
L. Futures			
M. Commodity Transactions _____			
N. Other			
O. Aggregate amount if less than the greater of \$5,000 or 5% of Total Revenue (line 14030) (Do not complete Items A-N)		637.54	
1. Is any portion of Item O related to municipal securities?	YES: X NO:		
<b>Total Net Gains or Losses on Principal Trades:</b>		637.54	637.54

**\*506**

**6. Capital Gains (Losses) on Firm Investments**

Includes Dividends and/or Interest:	YES: X NO:		832.17
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**\*507**

**7. Interest / Rebate / Dividend Income**

A. Securities Borrowings _____			
B. Reverse Repurchase Transactions			
C. Margin Interest _____			12.75
D. Interest earned from customer bank sweep (FDIC insured products) programs			
E. Interest earned from customer fund sweeps into '40 Act Investments _____			
F. Interest and/or Dividends on Securities held in Firm Inventory (not reported in Sections 4 or 5)			73.26
G. Other Interest _____			42.18
H. Aggregate amount if less than the greater of \$5,000 or 5% of Total Revenue (line 14030) (Do not complete Items A-G)		128.19	
<b>Total Interest / Rebate / Dividend Income:</b>		128.19	128.19

**\*508**

**8. Revenue from Underwritings and Selling Group Participation**

A. Municipal Offerings _____			
B. Registered Offerings			
1. Offerings other than self or affiliate. Excludes municipals			
2. Offerings, self or affiliate. Excludes municipals			

\*508B

\*508C

**Total Revenue from Registered Offerings:**

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C. Unregistered Offerings (Excludes municipal offerings)

Did the broker or dealer filing this report participate in the sale of any unregistered offering during the reporting period for which it received no compensation? YES: NO:

\*508D 1. Unregistered offerings, other than self or affiliate offerings. Section 1 -----

\*508E 2. Unregistered offerings, self or affiliate offerings.

Total Revenue from Unregistered Offerings:

Total Revenue from Underwritings and Selling Group Participation:

**\*509 9. Fees Earned**

A. Fees earned from affiliated entities -----		
B. Investment Banking Fees; M&A Advisory		
C. Account Supervision and Investment Advisory Services -----		1,604.46
D. Administrative Fees		
E. Revenue from Research Services -----		
F. Rebates from Exchanges, ECNs, and ATs		
G. 12b-1 Fees -----		3,213.52
H. Mutual Fund Revenue other than Concessions or 12b-1 Fees		
I. Execution Services -----		
J. Clearing Services		
K. Fees earned on customer bank sweep (FDIC insured products) programs -----		
L. Fees earned from sweep programs into '40 Act Investments		
M. Networking Fees from '40 Act Companies -----		
N. Other Fees		
O. Aggregate amount if less than the greater of \$5,000 or 5% of Total Revenue (line 14030) (Do not complete Items A-N)	4,817.98	
<b>Total Fees Earned:</b>	<b>4,817.98</b>	<b>4,817.98</b>

**\*510 10. Commodities Revenue**

**\*511 11. Other Revenue**

A. Total Revenue from sale of Certificates of Deposit (CDs) issued by an affiliate	400.00	400.00
B. Other Revenue	1,505.00	1,505.00

3,748.77 = 10% of Total Revenue
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If Other Revenue line 13995 is greater than both 10% of Total Revenue line 14030 and \$5,000, provide a description of the 3 largest components of Other Revenue, along with the associated revenue for each.

- B-1. Description of : 1st largest component of Other Revenue: , , .
- B-2. Description of : 2nd largest component of Other Revenue: , , .
- B-3. Description of : 3rd largest component of Other Revenue:

<b>Total Revenue:</b>	<b>37,487.78</b>		<b>37,487.78</b>
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EXPENSES	2,192.68 = 5% of Total Expenses	Report	BDOS GL
<b>*602</b>	<b>12. Compensation Expenses</b>		
	A. Registered Representatives Compensation .....	22,934.97	22,934.97
	B. Compensation paid to all other revenue producing personnel		
	C. Compensation paid to non-revenue producing personnel .....	8,000.00	2,000.00
	D. Bonuses		
	E. Other compensation expenses .....		
	F. Aggregate amount if less than the greater of \$5,000 or 5% of Total Expenses (line 14200) (Do not complete Items A-E)		
	J. Compensation paid to executives and shareholders .....		6,000.00
	<b>Total Compensation Expenses:</b>	30,934.97	30,934.97
<b>*703</b>	<b>13. Commission, Clearance and Custodial Expenses</b>		
	A. Floor brokerage and fees paid .....		
	B. Amounts paid to Exchanges, ECNs, and ATSS		
	C. Clearance Fees Paid to broker-dealers .....		3,966.00
	D. Clearance Fees Paid to non-broker-dealers		
	E. Commission Paid to other broker-dealers .....		
	F. 12b-1 Fees		
	G. Custodial Fees .....		
	H. Aggregate amount if less than the greater of \$5,000 or 5% of Total Expenses (line 14200) (Do not complete Items A-G)	3,966.00	
	<b>Total Commission, Clearance and Custodial Fees:</b>	3,966.00	3,966.00
<b>*604</b>	<b>14. Expenses Incurred on Behalf of Affiliates and Others</b>		
	A. Soft dollar expenses .....		
	B. Rebates/Recapture of commissions		
	<b>Total Expenses incurred on Behalf of Affiliates and Others:</b>		
<b>*605</b>	<b>15. Interest and Dividend Expenses</b>		
	A. Interest paid on bank loans .....		27.22
	B. Interest paid on debt instruments where broker-dealer is the obligor, including subordination agreements		
	C. Interest paid on customer balances .....		
	D. Interest paid on Securities Loaned transactions		
	E. Interest paid on Repurchase Agreements .....		
	F. Interest and/or Dividends on Short Securities Inventory		
	G. Other interest expenses .....		58.65
	H. Aggregate amount if less than the greater of \$5,000 or 5% of Total Expenses (line 14200) (Do not complete Items A-G)	85.87	
	<b>Total Interest and Dividend Expenses:</b>	85.87	85.87
<b>*606</b>	<b>16. Fees Paid to Third Party Service Providers</b>		
	A. To Affiliates .....		
	B. To Third Parties		125.00
	<b>Total Fees Paid to Third Party Service Providers:</b>		125.00

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2,192.68 = 5% of Total Expenses
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<b>*607</b>	<b>17. General, Administrative, Regulatory and Miscellaneous Expenses</b>			
	A. Finders' Fees .....			
	B. Technology, data and communication costs			1,105.00
	C. Research .....			20.00
	D. Promotional Fees			10.00
	E. Travel and Entertainment .....			225.25
	F. Occupancy and equipment expenses			1,980.66
	G. Non-recurring charges .....			
	H. Regulatory Fees			1,046.00
	I. Professional Service Fees .....			485.00
	J. Litigation, arbitration, settlement, restitution and rescission, and related outside counsel legal fees			
	K. Losses in error accounts and bad debts .....			
	L. State and local income taxes			
	M. Aggregate amount if less than the greater of \$5,000 or 5% of Total Expenses (line 14200) (Do not complete Items A-L)		4,871.91	
	<b>Total General, Administrative, Regulatory and Miscellaneous Expenses:</b>		4,871.91	4,871.91

<b>*608</b>	<b>18. Other Expenses</b>			
	A. Other Expenses	4,385.37 = 10% of Total Expenses	3,870.00	3,870.00
	If Other Expenses line 14100 is greater than both 10% of Total Expenses line 14200 and \$5,000, provide a description of the 3 largest components of Other Expenses, along with the associated expense for each.			
	A-1. Description of : 1st largest component of Other Expenses:			
		0.0000		
	A-2. Description of : 2nd largest component of Other Expenses:			
		0.0000		
	A-3. Description of : 3rd largest component of Other Expenses:			
		0.0000		

<b>*609</b>	<b>NET INCOME</b>			
	<b>19. Net Income</b>			
	A. Income (loss) before Federal income taxes and items below .....		-6,365.97	-6,365.97
	B. Provision for Federal income taxes (for parent only) .....		1,700.00	1,700.00
	C. Equity in earnings (losses) .....		-10.00	-10.00
	D. Extraordinary gains (losses) .....		-50.00	-50.00
	E. Cumulative effect of changes in accounting principles .....		60.00	60.00
	F. Net income (loss) after Federal income taxes and extraordinary item .....		-8,065.97	-8,065.97

**Total Expenses:                      43,853.75                      43,853.75**